

10/06/00
j-c946 U.S. PTO

10-10-00

A

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

PATENT APPLICATION
TRANSMITTAL LETTER

ATTORNEY DOCKET NO.:
40108/00101

Commissioner for Patents
Washington D.C. 20231
Box Patent Application

j-c925 U.S. PTO
09/680923
10/06/00

Transmitted herewith for filing is the patent application of

Inventor(s): Steven BECHHOFFER, Bernd COLLMER, and Edgar WITTMANN

For : A SYSTEM AND METHOD FOR MANAGING RISK AND OPPORTUNITY

Enclosed are:

1. 24 sheets of specification, 6 sheets of claims, and 1 sheet of abstract.
2. 7 sheet(s) of drawings.
3. Check in the Amount of \$710.00 for the filing fee calculated as shown below:

	NUMBER FILED	NUMBER EXTRA*	RATE (\$)	FEE (\$)
BASIC FEE				710.00
TOTAL CLAIMS	15 - 20 =	0	18.00	
INDEPENDENT CLAIMS	3 - 3 =	0	80.00	
MULTIPLE DEPENDENT CLAIM PRESENT			270.00	0.00
*Number extra must be zero or larger			TOTAL	710.00
If applicant is a small entity under 37 C.F.R. §§ 1.9 and 1.27, then divide total fee by 2, and enter amount here.			SMALL ENTITY TOTAL	

4. The Commissioner is hereby authorized to charge the payment of any additional fees associated with this communication or arising during the pendency of this application, with the exception of the issue fee, to the Deposit Account of FAY KAPLUN & MARCIN, LLP No. 50-1492.
5. When payment of the issue fee has previously been provided or authorized, the Commissioner is hereby authorized to charge any post issuance fees required, except for patent maintenance fees, to the deposit account of Fay Kaplun & Marcin, LLP deposit account number 50-1492.

09680923 100600

6. A copy of this paper is enclosed for Deposit Account purposes.

Dated: October 6, 2000

By: 

Patrick J. Fay (Reg. No. 35,508)

FAY KAPLUN & MARCIN, LLP
100 Maiden Lane, 17th Floor
New York, NY 10038
(212) 898-8870 (phone)
(212) 208-6819 / (212) 898-8800 (facsimile)

009001" E2608960

Client/Matter: 40108/00101

U.S. PATENT APPLICATION

For

A SYSTEM AND METHOD FOR MANAGING
RISK AND OPPORTUNITY

Inventor(s):

Steven Bechhofer
Bernd Collmer
Edgar Wittmann

Prepared by:

FAY KAPLUN & MARCIN, LLP

100 Maiden Lane, 17th Fl.
New York, NY 10038
(212) 898-8870

EXPRESS MAIL CERTIFICATE

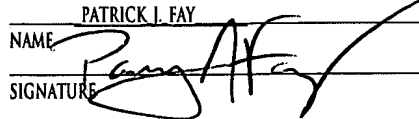
'EXPRESS MAIL' MAILING LABEL NUMBER EL609711741US
DATE OF DEPOSIT 10/06/00

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH
THE UNITED STATES POSTAL SERVICE 'EXPRESS MAIL POST OFFICE TO ADDRESSEE' SERVICE
UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO: ASSISTANT
COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231

PATRICK J. FAY

NAME

SIGNATURE



009001-22608960